



2000000096

# THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

# Asgrob Seed Company TEG

ILCCOS, THERE HAS BEEN PRESENTED TO THE

### Secretary of Agriculture

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED DISTINCT VARIETY OF SEXUALLY REPRODUCED, OR TUBER PROPAGATED PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXTIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDIGATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW.

NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLICANT(S) FOR THE TERM OF TWENTY YEARS FROM THE DATE OF THIS GRANT, SUBJECT TO THE PAYMENT OF THE REQUIRED FEES AND PERIODIC PLENISHMENT OF VIABLE BASIC SEED OF THE VARIETY IN A PUBLIC REPOSITORY AS PROVIDED BY LAW, THE TO EXCLUDE OTHERS FROM SELLING THE VARIETY, OR OFFERING IT FOR SALE, OR REPRODUCING IT, OR USE IT, OR EXPORTING IT, OR CONDITIONING IT FOR PROPAGATION, OR STOCKING IT FOR ANY OF THE ABOUT OF THE PLANT VARIETY PROTECTION ACT. (84 STAT. 1542, AS AMENDED, 7 U.S.C. 2321 ET SEQ.)

#### SOYBEAN

#### 'AG6701'

In Jestimenn Thereof, I have hereunto set my hand and caused the seal of the Hant Invision Horiston Office to be affixed at the City of Washington, D.C. this eighth day of May, in the year of our Lord two thousand one.

Allest:

alank Post

Acting Commissioner Plant Variaty Protection Office Agricultural Marketing Service V Agricultura

# U.S. DEPARTMENT OF AGRICULTURE AGRICULTURAL MARKETING SERVICE SCIENCE AND TECHNOLOGY - PLANT VARIETY PROTECTION OFFICE

The following statements are made in accordance with the Privacy Act of 1974 (5 U.S.C. 552a) and the Paperwork Reduction Act (PRA) of 1995

APPLICATION FOR PLANT VARIETY PROTECTION CERTIFICATE

Application is required in order to determine if a plant variety protection certificate is to be issued
(7 U.S.C. 2421) Information is need confidential until certificate is issued (7 U.S.C. 2426)

(Instructions and information collection burden statem	ent on reverse)	21		
1 NAME OF OWNER	eren egen er en en en skrive. De en		2 TEMPORARY DESIGNATION OR EXPERIMENTAL NAME	3 VARIETY NAME
Asgrow Seed Company LLC	tu m		AGM67701	AG6701
4 ADDRESS (Street and No. or R F D No. City, State, and ZIP Code, and	Country)		5 TELEPHONE (include area code)	FOR OFFICIAL USE ONLY
634 East Lincoln Way			515-232-7170	PVQO NUMBER
Ames IA 50010	and the second s		2	P00000096
	v		6 FAX (include area code)	
	<i></i>		515-956-6905	FILING DATE
7 IF THE OWNER NAMED IS NOT A "PERSON", GIVE FORM OF	8 IF INCORPORATE	ED COM	A DATE OF HIGHBORN	12/9/1999
ORGANIZATION (corporation, partnership, association, etc.)	8 IF INCORPORATE STATE OF INCOR	RPORATION	9 DATE OF INCORPORATION	136/11/11/
Corporation	Delaware		March 22, 1968	
10 NAME AND ADDRESS OF OWNER REPRESENTATIVE(S) TO SERVE	N THIS APPLICATION (First	person listed will rec	eive all papers)	FILING AND EXAMINATION
The section of the se	gravitation (New York)		one en la filosofia de la companya de la companya Handi a companya de la companya de	FEES: 2450.00
Donald Steffen	•	of 0.1		E
Asgrow Seed Company LLC 634 East Lincoln Way	e da le come de la come			R DATE /2/9/1999
Ames IA 50010	And the Second Control of the Contro	minter of a second	and off the fourth of the control of the control	C CERTIFICATION FEE:
the first section of the first section is set to the section of th	santati e e e gare	e de la propia	Marine Committee Committee	V 2000
		,	19 d	
e Special Control of the Control of	Parallel State			DATE 4/23/0/
11 TELEPHONE (Include area code) 12 FAX (Include area code)	) 13. E_M	AIL	14 (	CROP KIND (Common Name)
515-956-3002 515-956-690	5 donal	d.e.steff	en@monsanto.com	Soybean
	100			
15 GENUS AND SPECIES NAME OF CROP	16. FAN	IILY NAME (Botanic		S THE VARIETY A FIRST GENERATION 198RID?
Glycine max	Leg	guminosae		☐ YES 🖬 NO
18 CHECK APPROPRIATE BOX FOR EACH ATTACHMENT SUBMITTED	Enlow instructions on	10 DOES THE (	OWNER SPECIFY THAT SEED OF THIS	CAMPIETA PE COLDING A CLASS OF
(everse)		CERTIFIED	SEED? See Section 83(a) of the Pla	ant Vanety Prolection Act)
a. X Exhibit A. Origin and Breeding History of the Variety b Exhibit B. Statement of Distinctness	15 15 (145 H) 4 (175 H) 1	• ш •	YES (if "yes", answer items 20 : and 21 below)	NO (If "no," go to dem 22)
c. Exhibit C. Objective Description of Variety		20. DOES THE C	WHER SPECIFY THAT SEED OF THE	S VARIETY BE LIMITED AS TO NUMBER
d. X Exhibit D. Additional Description of the Variety (Optional)		OF GENERA	TIONS7 'ES	□ NO
e. 🖸 Exhibit E. Statement of the Basis of the Owner's Ownership				<u> </u>
Voucher Sample (2,500 viable untreated seeds or, for tuber p verification that tissue culture will be deposited and maintaine repository)	ropagated vaneties, d in an approved public	21 IF "YES" TO	ITEM 20, WHICH CLASSES OF PROD	UCTION BEYOND BREEDER SEED?
g. Filing and Examination Fee (\$2,450), made payable to "Treas	urer of the United	" "	OUNDATION	and the second of the state of the second of
States" (Mail to the Plant Variety Protection Office)		kning of the land		
<ol> <li>HAS THE VARIETY (INCLUDING ANY HARVESTED MATERIAL) OR A FROM THIS VARIETY BEEN SOLD, DISPOSED OF, TRANSFERRED, OTHER COUNTRIES?</li> </ol>	HYBRID PRODUCED OR USED IN THE U.S. OR	23. IS THE VAR PROPERTY	ETY OR ANY COMPONENT OF THE V RIGHT (PLANT BREEDER'S RIGHT O	VARIETY PROTECTED BY INTELLECTUA R PATENTI?
TYES XXX NO			YES	<b>☑</b> NO
IF YES, YOU MUST PROVIDE THE DATE OF FIRST SALE, DISPOSIT FOR EACH COUNTRY AND THE CIRCUMSTANCES. (Please use spi	ION, TRANSFER, OR USE	IF YES, PLE	ASE GIVE COUNTRY, DATE OF FILING NUMBER. (Please use space indicate	G OR ISSUANCE AND ASSIGNED
24 The owners deciare that a viable sample of basic seed of the variety will	he famished with application	and will be enclosed		
for a tuber propagated variety a tissue culture will be deposited in a put.  The undersigned owner(s) is(are) the owner of this sexually reproduced	ac sebosrosa mus ususumued :	tot the ontariou of Al	e certificate.	
and a crimed as brosecies, areas the brosecies of Section 47 of the 1/9	N Venety Protection ACL	1800	nat the variety is new, distinct, uniform,	and stable as required in Section 42,
Owner(s) is(are) informed that false representation herein can jeopardiz	e protection and result in pena	alties.		
SIGNATURE OF OWNER	entre entre entre	SIGNATURE OF	OWNER	
NAME (Please print or type)	1 S. 1874	NAME (Please p	nat or type)	
Donald Steffen				
CAPACITY OR TITLE	ATE .	CAPACITY OR	NTI F	DATE
Daniel Long	0/15/1000			,

#### EXHIBIT A

### **ORIGIN AND BREEDING HISTORY OF AG6701**

- 1992 Cross M921021/M95-25643 was made near Isabela, Puerto Rico. Parentage: A6961\*40-3-2
- 1992-3 F1, F2 & F3 generations were grown near Isabela, Puerto Rico and advanced using modified pedigree selection.
- 1994 F4 Bulk Populations were grown in Marion, Arkansas and single plants pulled.
- F4 derived F5 plants were grown in Marion, Arkansas in progeny rows, and row M921021/M95-25643 was selected based on agronomic characteristics.
- 1995-6 F4:6 plants were increased at Isabela, Puerto Rico.
- 1996 F4:7 M921021/M95-25643 was entered in a yield test at 2 locations in the lower Midwest, where it placed 2<sup>th</sup> of 50 entries.
- F4:8 M921021/M95-25643 was entered in a yield test at 3 locations in the lower Midwest, where it placed 12<sup>th</sup> of 32 total entries and was 1<sup>st</sup> of all Roundup Ready entries.

AG6701 is uniform and stable within commercially acceptable limits based on trial observations since 1996. As with any other soybean variety, variants can occur for almost any characteristic during the course of repeated sexual reproduction.

### **EXHIBIT B**

# Novelty Statement Concerning AG6701 Soybean

To our knowledge, the soybean varieties that closely resemble AG6701 are A6961, A6711, and A6297:

1. Flow	er color	AG6701 A6961 A6711 A6297	<ul><li>- Purple</li><li>- White</li><li>- White</li><li>- White</li></ul>
2. RRT	M gene	AG6701	- Present
(To	olerance to glyphosate herbicide)	A6961	- Absent
	·	A6711	- Absent
		A6297	- Absent
3. Hilun	n color	AG6701	- Imperfect Black
		A6961	- Buff
		A6711	- Black
		Ą6297	- Imperfect Black
4. Soybe	ean cyst nematode resistance	AG6701	- Mod.Res3, Mod.Res14
	(Race 3 and Race 14)	A6961	- Res3, Mod.Res14
		A6711	- Res3, Susc14
		A6297	- Res3, Res14

2 0 0 0 0 0 0 9 6

REPRODUCE LOCALLY. Include form number and date on all reproductions

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this collection of information is (0581-0055). The time required to complete this information collection is extimated to average 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

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To file a complaint of discrimination, write USDA, Director, Office of Civil Rights, Room 326-W, Whitten Building, 14th and Independence Avenue, SW, Washington, DC 20250-9410 or call (202) 720-5964 (voice and TDD). USDA is an equal opportunity provider and employer.

U.S. DEPARTMENT OF AGRICULTURE
AGRICULTURAL MARKETING SERVICE
SCIENCE AND TECHNOLOGY
PLANT VARIETY PROTECTION OFFICE
BELTSVILLE, MD 20705

EXHIBIT C (Soybean

# OBJECTIVE DESCRIPTION OF VARIETY SOYBEAN (Glycine max (L.) Merr.)

NAME OF APPLICANT(S)			FOR OFFICIAL USE ONLY			
Asgrow Seed Company LLC				PVPO NUMBER		
ADDRESS (Street and No., or R.F.D. No., City, State, and Zip Code)					VARIETY NAME	
(24)	, ~ , , ,				AG6701	
Ames I	t Lincoln Way [A 50010	7			TEMPORARY DESIGNATION	
					AGM67701	
minimum of standard may Please answe	arst box (e.g. L.) 100 plants. Compa be used to determ	→ or □□) when rative data should be une plant colors;	number is either 99 o	r less 9 or less respe vieties entered in th ed	cribes the varietal character of this variety in the boxes below. Place ctively. Data for quantitative plant characters should be based on a see same trial. Royal Horticultural Society or any recognized color eplication.	
Seed Shape	e <b>:</b>					
		Spherical		2 = Spheric	al Flattened	
2	(L/W, L/T, and T/W ratios - <1.2)		ratios - <1.2)	(L/W ratio >1.2; L/T ratio = <1.2)		
		Elongate	_ 43	-	te-Flattened	
Seed Coat		T ratio > 1.2; T/W	=<1.2)	(L/T ratio >	1.2; T/W >1.2)	
	1= Yellow	2= Green	3= Brown	4= Black	5= Other	
		_ 010011	O DIGWI	T DIACK	( Please Specify)	
Seed Coat	Luster:			•		
1	1= Dull	2= Shiny				
Seed Size:						
1 4	grams/100 s	eeds				
Hilum Colo	r:					
5	1= Buff	2= Yellow	3= Brown	4= Gray	5= Imperfect Black	
	6= Black	7= Other (Pla	ease Specif))		<u> </u>	
Cotyledon (	Color:					
1	1 = Yellow	2 = Green				

A.	<b>MORPHOLOGY</b>	Continued	)
		Commence	,

	<b>₹</b> 1			20	0000	$\Lambda$
Seed Prote	ein Peroxidase Acti	vity:			9	u a
	$1 = L_{0W}$	2 = High				
Hypocotyl	Color:					
3	1 = Green Evans' or 'Davis'	2 = Green with Bron Bands below Cotyled Woodworth'or'Trac	on below Cotyledons	4 = Dark Purpleexten unifoliate leaves (Hod '1' 'Coker',or'Hampton2	gson',	
Leaf Shape	<b>:</b> :					
3	1 = Lanceolate	2 = Oval 3 = 0	Ovate 4 = Other (	Please Specify)		
Flower Col	lor:					
2	1 = White	2 = Purple 3 = V	Vhite with a Purple Thi	roat		
Pod Color:				•		
1	1 = Tan	2 = Brown 3 =	Black			
Pubescence	Color:					
1	1 = Gray	2 = Brown (Tawny)	3 = Light Tawny			
Plant Habit	:					
1	1= Determinate	2= Semi-Determinate	3= Indeterminate	4 = Intermediate		
B. DISEA	SE REACTIONS	0 = Not Test	ed 1 = Susceptible 2 =	Resistant 3 = Tolerant		
Bacterial						
0	Bacterial Pustule	(Xanthomonas campe	stris pv. glycines (Nakaı	no) Dye)		
0	Bacterial Blight	(Pseudomonas syringae	pv. glycinea (Coerper)	Young, Dye &Wilkie)		
0	Wildfire Blight (	Pseudomonas syringae	pv. tabaci (Wolf & Foste	er) Young, Dye &Wilkie)		
Fungal						
0	Brown Spot (Sep.	toria glycines Hemmi)				
· · · · · ·	Frogeye Leafspot	(Cercospora sojina)				
0	race 1	0 race 2	0	race 3	race 4	
0	race 5	0 race 6	0	Other (Please Specify)		_
0	Target Spot (Cory	nespora cassiicola (Be	rk. & Curt.)Wei)			
0	Downy Mildew (A	Peronospora trifoliorun	var. <i>manshurica (</i> Nau	n.) Syd. Ex Gaum)		

В.	DISEASE REA	ACTIONS (Continued)	0 = Not Tested 1 = Susceptible 2	= Resistant 32 Blogard 0 0 0 9			
0							
0	Brown	n Stem Rot ( <i>Phialophora gre</i>	gata (Allington & Chamberlain) W.	Gams.)			
0	Stem (	Canker ( <i>Diaporthe phaseolo</i>	rum (Cke. & Ell.)Sacc. var. caulivora	Athow & Caldwell)			
0	Pod an	nd Stem Blight ( <i>Diaporthe pl</i>	haseolorum (Cke. & Ell.)Sacc. var. so	jae (Lehman) Wehm.)			
0	Purple	e Seed Stain ( <i>Cercospora kik</i>	uchii (T. Matsu. & Tomoyasu) Garde	ner)			
0	Rhizoc	ctonia Root rot (Rhizoctonia	solani Kuhn)	. •			
Phyt	cophthora Rot ( <i>Ph</i>	ytophthora megasperma Dre	chs. f. sp. <i>glycinea</i> (Kuan & Erwin))				
1	race 1	1 race 8	1 race 15	1 race 22			
1	race 2	1 race 9	1 race 16	1 race 23			
1	race 3	1 race 10	1 race 17	1 race 24			
1	race 4	1 race 11	1 race 18	1 race 25			
1	race 5	1 race 12	1 race 19	0 race 26			
1	race 6	1 race 13	1 race 20	0 race 27			
1	race 7	1 race 14	1 race 21	Other (Please Specify)			
0	Bud Bli	ght ( Tobacco Ringspot Vira	18)	Speegy)			
0	Yellow 1	Mosaic ( Bean Yellow Mosa	ic Virus)				
0		ı Mosaic (Cowpea Chlorotic	•				
0	Pod Mo	ttle ( Bean Pod Mottle Virus	· 8)				
0	Seed Me	ottle ( Soybean Mosaic Virus	· 8)				
Nema	tode						
Soybe	an Cyst Nematodo	e ( <i>Heterodera glycines</i> Ichin	nohe)				
0	race 1	2 race 3	0 race 6	2 race 14 (former r. 4)			
0	race 2	0 race 5	0 race 9	Other (Please			
0	Lance N	ematode (Hoplolaimus colo	mbus Sher)	Specify)			
Southern Root Knot Nematode (Meloidogyne incognita (Kofoid & White) Chitwood)							
0							

B. DISE	ASE REACTIONS Continued)	0 = Not Tested	1 = Susceptible	2 = Resistant	3 = <u>T</u> olerant ()	0009	
0	Peanut Root Knot Nematode ( M.	eloidogyne arenar	ia (Neal) Chitwoo	od)			
0	Reniform Nematode (Rotylenchulus reniformis Linwood & Olivera)						
0	Javanese Nematode (Meloidogyne javanica (Treud) Chitwood)						
	Other Nematode (Please Specify)						
C. PHYSI	OLOGICAL RESPONSES	0 = Not Tested	1 = Susceptible	2 = Resistant	3 = Tolerant		
0	Iron Chlorosis on Calcareous Soi	I					
0	Phosphorus						
0	Boron						
0	Aluminum						
0	Salt						
0	Drought				•		
	Other (Please Specify)						
D. INSEC	T REACTIONS	0 = Not Tested	1 = Susceptible	2 = Resistant	3 = Tolerant		
0	Mexican Bean Beetle (Epilachna	varivestis Mulsant	)				
0	Potato Leaf Hopper (Empoasca fa	bae (Harris))					
	Other (Please Specify)						
E. HERBI	CIDE REACTIONS	0 = Not Tested	1 = Susceptible	2 = Resistant			
2	Metribuzin						
0	Bentazone				·		
1	Sulfonylurea						
2	Glyphosate						
1	Glufosinate						
0	Pendimethalin						
	Other (Please Specify)						
F. TRANSGENIC COMPOSITION							
Has the development of the Subject Variety included the insertion or removal of genetic material?							
If yes, please o	omplete the following informationed	juests*. Use addi	tional nages if ne	cessarv.	Yes X	No	
	designed by the Plant Variety Protection Office wi				•	Page 4	

# 200000096

# F. TRANSGENIC COMPOSITION(Continued)

- 1. Please state the vector's name:
- 2. Please state the vector components:
- 3. Please describe the genetic material successfully transferred into the Subject Variety:
- 4. Please describe the insertion protocol:
- \* A literature citation(s) explaining the four information requests above may be an acceptable alternative to completion of the "Transgenic Composition" portion of this form.

# G. BIOCHEMICAL MARKERS

Please describe any biochemical information here which you believe will be helpful in further describing the Subject Variety (e.g. Sim Sequence Repeats, Random Fragment LengthPolymorphisms, Isozymic Characterization). Use additional pages if necessary.

### H. COMMENTS

### **EXHIBIT D**

# Additional Description of AG6701 Soybean

AG6701 is a late maturity group VI variety with resistance to Roundup <sup>tm</sup> herbicide. It has very high yield potential. It has superior yields to lines of similar maturity and has excellent agronomic characteristics. In tests, it has beaten Asgrow AG6101 by 106 % overall, winning at 4 of 4 locations. It is moderately resistant to races 3 & 14 of Soybean cyst nematode. AG6701 would be grown in the late Group VI growing areas of the southern corn belt, including Illinois, Missouri, Indiana, Maryland, and Arkansas. It has only average appearance.

### **EXHIBIT E**

## Statement of Basis of Applicant Ownership

AG6701 was originated and developed by Dr. Bruce Luzzi, an Asgrow soybean breeder. By agreement with Asgrow Seed Company LLC, all rights to any invention, discovery or development made by employees are assigned to the company. No rights of such invention, discovery or development are returned to the employee.

U.S. DEPARTMENT OF AGRICULTURE AGRICULTURAL MARKETING SERVICE	The following statements are made in 1974 (5 U.S.C. 552a) and the Paperwo	in accordance with the Privacy Act of ork Reduction Act (PRA) of 1995.		
EXHIBIT E STATEMENT OF THE BASIS OF OWNERSHIP		letermine if a plant variety protection 2421). Information is held confidential 6).		
1. NAME OF APPLICANT(S)	TEMPORARY DESIGNATION     OR EXPERIMENTAL NUMBER	3. VARIETY NAME		
Asgrow Seed Company LLC	AGM67701	AG6701		
4. ADDRESS (Street and No., or R.F.D. No., City, State, and ZIP, and Country)	5. TELEPHONE (include area code)	6. FAX (include area code)		
634 E. Lincoln Way	515-232-7170	515-232-6905		
Ames IA 50010	7. PVPO NUMBER			
	200000096			
8. Does the applicant own all rights to the variety? Mark an "X" in appropri	riate block. If no, please explain.	XX YES NO		
9. Is the applicant (individual or company) a U.S. national or U.S. based of If no, give name of country	ompany?	XX YES   NO		
10. Is the applicant the original owner?	(O If no, please answer one of the	following:		
a. If original rights to variety were owned by individual(s), is (are) the or	riginal owner(s) a U.S. national(s)?			
YES N	If <b>no</b> , give name of country			
b. If original rights to variety were owned by a company(ies), is(are) the	original owner(s) a U.S. based compan	ay?		
☐ YES ☐ N	If no, give name of country	÷		
11. Additional explanation on ownership (if needed, use reverse for extra sp	pace):	······································		
e de la companya del companya de la companya del companya de la co	<b>C</b> \$			
PLEASE NOTE:				
Plant variety protection can be afforded only to owners (not licensees) who meet o	ne of the following criteria:	•		

- 1. If the rights to the variety are owned by the original breeder, that person must be a U.S. national, national of a UPOV member country, or national of a country which affords similar protection to nationals of the U.S. for the same genus and species.
- 2. If the rights to the variety are owned by the company which employed the original breeder(s), the company must be U.S. based, owned by nationals of a UPOV member country, or owned by nationals of a country which affords similar protection to nationals of the U.S. for the same genus and species.
- 3. If the applicant is an owner who is not the original owner, both the original owner and the applicant must meet one of the above criteria.

The original breeder/owner may be the individual or company who directed final breeding. See Section 41(a)(2) of the Plant Variety Protection Act for definition.

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